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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor :

Robert L. Chambers et al.

Appln. No. : 10/799,356

Filed : March 12, 2004

For : SPEECH RECOGNITION USING
CATEGORIES AND SPEECH
PREFIXING

Group Art Unit: 2641

Examiner:

Docket No.: M61.12-0601

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS
BEING SENT BY U.S. MAIL, FIRST CLASS,
TO THE COMMISSIONER FOR PATENTS,
P.O. BOX 1450, ALEXANDRIA, VA 22313-
1450, THIS

20th DAY OF September,
20 06.

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. x 1. Within three month of the filing date of a
national application other that a Continued
Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international
application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for
Continue Examination (RCE).

2. ☐ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311.

Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
- B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e);
- B. ☐ the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

- ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

- ☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

METHOD OF PAYMENT

- ☒ No fee is required.
☐ Attached is a check in the amount of \$___.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

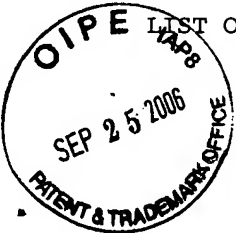
Respectfully submitted,

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FORM PTO-1449	Atty. Docket No.: M61.12-0601	Appl. No.: 10/799,356
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>	First Named Inventor:	
	Robert L. Chambers et al.	
	Filing Date	Group Art:
	March 12, 2004	2641

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	2003/0233237 A1	12/18/03	Garside et al.	704	270	
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	"Your Pad or MiPad," Microsoft Corporation, http://research.microsoft.com/research/srg/mipad.aspx , 2006, pp. 1-2.
AP	"Speech Recognition in Office XP," Microsoft Corporation, http://www.microsoft.com/office/previous/xp/speech/asp , May 30, 2001, pp. 1-3.
AQ	"Using Speech Recognition For the First Time in Office," Microsoft Corporation, http://office.microsoft.com/en-us/assistance/HA010565111033.aspx , pp. -1-3.
AR	"VoiceXML and Next-Generation Voice Services," Adam Hock, MXL 2002 Proceedings by deepX, www.RenderX.com , pp. 1-15.
AS	"IBM ViaVoice Gold," IBM, pp. 1-46.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.